

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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TRANSMITTAL LETTER TO THE UNITED STATES	ATTORNEY'S DOCKET NUMBER 4328-005						
DESIGNATED/ELECTED OFFICE (DO/EO/US)	U.S. APPLICATION NO. (If known, see 37 CFR 1.5)						
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371	10/517,687						
INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED 11 June 2002						
PCT/CA03/ 00903 11 June 2003							
PEPTIDES AND PEPTIDOMIMETICS USEFUL FOR INHIBITIN	IG THE ACTIVITY OF PROSTAGLANDI						
APPLICANT(S) FOR DO/EO/US PERI	: • •						
Applicant herewith submits to the United States Designated/Elected Office (DO/EC	D/US) the following items and other information:						
1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 37	1.						
2. X This is a SECOND or SUBSEQUENT submission of items concerning a submission	n under 35 U.S.C. 371.						
3. This is an express request to begin national examination procedures (35 U.S.C. 37 (5), (6), (9) and (21) indicated below.	/1(f)). The submission must include items						
4. The US has been elected (Article 31).							
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))							
a. is attached hereto (required only if not communicated by the Internation	nal Bureau).						
b. has been communicated by the International Bureau.	ing Office (BO/US)						
c. is not required, as the application was filed in the United States Receiv An English language translation of the International Application as filed (35 U.S.0	· · · ·						
a. is attached hereto.							
b. has been previously submitted under 35 U.S.C. 154(d)(4).							
7. Amendments to the claims of the International Application under PCT Article 19	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))						
a. are attached hereto (required only if not communicated by the Interna	a. are attached hereto (required only if not communicated by the International Bureau).						
b. have been communicated by the International Bureau.	b. have been communicated by the International Bureau.						
c. Have not been made; however, the time limit for making such amendr	nents has NOT expired.						
d. L.J have not been made and will not be made.							
,	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).						
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary E	An earlieb lenguage translation of the enveyor of the International Brailimings, Exemination Benefit under BCT						
Article 36 (35 U.S.C. 371(c)(5)).							
Items 11 to 20 below concern document(s) or information included:							
11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.							
12. An assignment document for recording. A separate cover sheet in compliance with the separate cover sheet in contrast the separate cover sheet in contrast the separate cover sheet in cover sheet cove	ith 37 CFR 3.28 and 3.31 is included.						
	A preliminary amendment.						
14. An Application Data Sheet under 37 CFR 1.76. A substitute specification.	An Application Data Sheet under 37 CFR 1.76.						
16. A power of attorney and/or change of address letter.							
17. A computer-readable form of the sequence listing in accordance with PCT Rule	13 <i>ter.</i> 2 and 37 CFR 1.821- 1.825.						
18. A second copy of the published International Application under 35 U.S.C. 154(d)	A second copy of the published International Application under 35 U.S.C. 154(d)(4).						
19. A second copy of the English language translation of the international application	n under 35 U.S.C. 154(d)(4).						

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

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10/5	TION NO. (if known $17,687$	n, see 37 CFR 1.5)	PCT/CA03/0		4328-05	OCKET NUMBER
20. Other	items or informati	ion:			<u> </u>	
		·	EXTENSION FEE:	four months	(small ent	ity) \$795
The foll	owing fees have t	peen submitted			CALCULATIONS	PTO USE ONL
21. 🔲 Basi	c national fee (37	CFR 1.492(a))		\$300	\$ 200	
22. X Exan	nination fee (37 C	FR 1.492(c))			000	
by IPEA/	If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0 All other situations				\$ 200	
If the written opin IPEA/US Search fee (37 C International Sea previously	indicates all clain FR 1.445(a)(2)) h onal Searching Aurch Report prepar communicated to	or the Internationa ns satisfy provisions as been paid on the athorityred by an ISA other to the US by the IB.	I preliminary examination r s of PCT Article 33(1)-(4) e international application t than the US and provided		s 400	
	TOTAL OF 21, 2:	2 and 23 =				
Additional fe sequenc electroni						
Total Sheets	Extra Sheets		dditional 50 or fraction p to a whole number)	RATE		
107 - 100 =	/50 =			× \$250	\$ 2500	I
			fee, examination fee, or the (37 CFR 1.492(h)).	e oath or declaration	\$ 130	
CLAIMS	NUME	BER FILED	NUMBER EXTRA	RATE	\$321 30000	
Total claims	7	3 - 20 =	53	× \$50	\$2,650.00	
Independent clair	ns	1 -3=	0	× \$200	\$	
MULTIPLE DEP	MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360				\$	
TOTAL OF ABOVE CALCULATIONS =					\$ 3,630.00	
		Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.				
Applicant cla	ims small entity s	tatus. See 37 CFR	1.27. Fees above are red	•	1,015	
				SUBTOTAL =	\$ 1,815.00	
Processing fee o		shing the English tr	1.27. Fees above are reduced in the second sec	SUBTOTAL =		
Processing fee o	\$130.00 for furni	shing the English tr	anslation later than 30 mo	SUBTOTAL =	\$ 1,815.00 \$	
Processing fee or claimed priority d	\$130.00 for furniate (37 CFR 1.49)	shing the English tr 2(i)).	anslation later than 30 mo TOTA .21(h)). The assignment m	SUBTOTAL = nths from the earliest + AL NATIONAL FEE =	\$ 1,815.00	
Processing fee or claimed priority defined priority defin	\$130.00 for furniate (37 CFR 1.49) the enclosed ass	shing the English tr 2(i)). ignment (37 CFR 1	anslation later than 30 mo TOTA .21(h)). The assignment m	SUBTOTAL = nths from the earliest + AL NATIONAL FEE =	\$ 1,815.00 \$ \$ 2,610.00	
Processing fee o claimed priority d	\$130.00 for furniate (37 CFR 1.49) the enclosed ass	shing the English tr 2(i)). ignment (37 CFR 1 CFR 3.28, 3.31). \$4	anslation later than 30 mo TOTA .21(h)). The assignment m	SUBTOTAL = In this from the earliest + IL NATIONAL FEE = ust be accompanied +	\$ 1,815.00 \$ \$ 2,610.00 \$	\$

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a. 🗶	A check in the amount of \$ 2,6,0,00 to cover the above fees is enclosed.						
ь. 🗆	Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.						
c. 🗴	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No.						
d. 🗆	07-1730 Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND A	SIGNATURE Jeffrey M. Kaden NAME 31,268 REGISTRATION NUMBER						

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : PERI, Krishna et al.

U.S. APPLICATION NO. : 10/517,687

PCT NO. : PCT/CA03/00903

PRIORITY FILING DATE : 11 June 2002

INTERNATIONAL FILING DATE: 11 June 2003

U.S. FILING DATE : December 10, 2004

TITLE : PEPTIDES AND PEPTIDOMIMETICS

USEFUL FOR INHIBITING THE

ACTIVITY OF PROSTAGLANDIN

F2A RECEPTOR

<u>U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED</u> OFFICE (DO/EO/US)

Mail Stop PCT Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This communication is in response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/IS) and mailed on October 19, 2005.

1. We note that the International Filing Date of the Corresponding PCT application is June 11, 2003, while the priority date (dating back to the U.S. Provisional 60/387,424) is June 11, 2002. It is requested that the PTO communications correctly identify this information.

- 2. In response to the above Notification, the following documents are attached:
- a. A Preliminary Amendment with a new set of claims. Note that the new set of claims cancels the previous set, i.e., there are now 73 claims and the fee of \$2,650.00 is included in our fee transmission to cover these new claims, plus examination, search and extension fees.
- b. Declaration and Power of Attorney;
- c. A Petition for Extension (4 months) of Time Under 37 CFR; and
- d. A check in the amount of \$2,610.00 to cover all required fees.

Respectfully submitted, GOTTLIEB, RACKMAN & REISMAN, P.C. Attorneys for Applicant 270 Madison Avenue New York, New York 10016 Phone: (212) 684-3900 Fax: (212) 684-3999

Date April 19, 2006

Jeffrey M. Kaden (Reg. No. 31,268)

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Rec'd PCT/PTO 19 APR 2006

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandris, Vrignia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO. 4328-005

10/517.687

Krishna Peri

INTERNATIONAL APPLICATION NO.

PCT/CA03/00903

I.A. FILING DATE

PRIORITY DATE

06/11/2002

22440 **GOTTLIEB RACKMAN & REISMAN PC** 270 MADISON AVENUE 8TH FLOOR NEW YORK, NY 100160601



CONFIRMATION NO. 1927 371 FORMALITIES LETTER *OC000000017259094*

Date Mailed: 10/19/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 12/10/2004
- Copy of the International Search Report filed on 12/10/2004
- Small Entity Statement filed on 12/10/2004
- Request for Immediate Examination filed on 12/10/2004
- U.S. Basic National Fees filed on 12/10/2004
- Priority Documents filed on 12/10/2004

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$3200 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$3565 for a Small Entity:

- \$65 Surcharge.
 - The application search fee has not been paid. Applicant must submit \$200 to complete the search fee. Note a surcharge will be required if submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee was not paid before July 1, 2005.
 - The application examination fee has not been paid. Applicant must submit \$100 to complete the
 examination fee for a small entity in compliance with 37 CFR 1.27. Note a surcharge will be required if
 submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee
 was not paid before July 1, 2005.
- Total additional claim fee(s) for this application is \$ 3200
 - \$3200 for 128 total claims over 20.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

CHRISTINE S WASHINGTON

Telephone: (703) 308-9140 EXT 228

PART 1 - ATTORNEY/APPLICANT COPY

Г	U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.				
L	10/517,687	PCT/CA03/00903	4328-005				

FORM PCT/DO/EO/905 (371 Formalities Notice)